

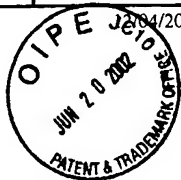


## UNITED STATES PATENT AND TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/006,797         | 01/04/2001          | John David Fraser     | 12669-002001           |



Y. ROCKY TSAO  
Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110-2804

CONFIRMATION NO. 9884

## FORMALITIES LETTER



\*OC000000007359188\*

Date Mailed: 01/24/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$186.
  - \$144 for 16 total claims over 20.
  - \$42 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 621.

06/26/2002 BABRAWA1 00000012 10006797

|           |           |
|-----------|-----------|
| 01 FC:201 | 370.00 DP |
| 02 FC:205 | 65.00 DP  |
| 03 FC:203 | 144.00 DP |
| 04 FC:202 | 42.00 DP  |

A copy of this notice **MUST** be returned with the reply.

*Spencer Geremew*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : John David Fraser et al  
Serial No. : 10/006,797  
Filed : December 4, 2001  
Title : IMMUNOMODULATORY CONSTRUCTS AND THEIR USES

Art Unit : Unknown  
Examiner : Unknown

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**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

In response to the Notice to File Missing Parts of Application under 37 U.S.C. §1.53(b) mailed January 24, 2002 (copy enclosed), applicants as a small entity submit herewith the following:

- ☒ Payment of the basic filing fee of \$370;
- ☒ Payment of the additional/multiple dependent claims fees of \$186;
- ☒ Payment of the surcharge of \$65 for late filing of the basic filing fee and/or declaration;
- ☒ A Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;
- ☒ Petition for Three-Month Extension with payment of the required fee of \$460.00.

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 6-13-02

Y. Rocky Tsao  
Y. Rocky Tsao  
Reg. No. 34,053

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20449193.doc

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL**

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit 6/13/2002

Signature Deborah R. East

Typed or Printed Name of Person Signing Certificate  
Deborah R. East